

# ROCKEFELLER

## CAPITAL MANAGEMENT

### BIOGRAPHY

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## GREGORY J. FLEMING

President & Chief Executive Officer



Gregory J. Fleming is the founding Chief Executive Officer of Rockefeller Capital Management. He has spent more than 30 years in the financial services industry, and has developed a track record of transforming businesses, engendering trust among institutional and individual clients and creating value for colleagues and shareholders.

Mr. Fleming assumed the role of CEO of Rockefeller Capital Management in March 2018. The firm was created from the former Rockefeller & Co. and combines wealth management, family office, and asset management with a strategic advisory capability. Mr. Fleming is a shareholder and member of the Board of Directors of Rockefeller Capital Management.

Prior to leading Rockefeller Capital Management, Mr. Fleming was the President of Morgan Stanley Wealth Management and Morgan Stanley Investment Management. He served in these roles for 6 years and oversaw the transformation of both businesses. Under Mr. Fleming's leadership, assets under management in Morgan Stanley Investment Management nearly doubled from \$210 BN to over \$400 BN. In Wealth Management, pre-tax operating margins increased from mid-single digits to over 22%, and FA attrition was reduced by more

than half. During his tenure, Morgan Stanley bank assets more than doubled to over \$100 BN.

Before joining Morgan Stanley in 2010, he served as President and Chief Operating Officer of Merrill Lynch from 2007 to 2009, and previously ran Merrill Lynch's Global Investment Banking business. During the course of his career, he has advised on more than \$200 billion in mergers and capital raising, helping clients navigate some of the most complex situations in the most challenging market environments. Mr. Fleming joined Merrill Lynch as an investment banker in 1992. He had also been a principal at Booz Allen Hamilton.

Mr. Fleming is a former director of Colgate University; a member of the Board of Advisors for the Yale Law School Center for the Study of Corporate Law, the Council on Foreign Relations and the Economic Club of New York; a director on Turn2 Foundation Board; a trustee at Deerfield Academy; and a member of the Ronald McDonald House Board of Directors. He also serves as an Advisory Director on the board of the Florida Marlins, a Resource Council member of the Turn 2 Foundation and serves on the Advisory Board of COVR, an innovative financial services start-up. He frequently serves as a Visiting Lecturer in Law and a Distinguished Visiting Fellow at the Center for the Study of Corporate Law at Yale Law School.

He is a Phi Beta Kappa, summa cum laude graduate in economics from Colgate University and received his J.D. from Yale Law School.