

COMMITTEE REPORT ON
RECOMMENDED GUIDELINES FOR EVALUATING DOCTORAL
STUDENTS AT THE END OF YEAR 1

(JULY 2002)

The faculty will review the performance of each PhD student at the end of the second semester of the program to determine if the performance is sufficiently promising to invite the student to return to continue the program in the second year. The faculty will use the following criteria to make this judgment:

A. For all students except B below:

1. Creativity and interest in research on the basis of active participation in research processes at SOM (e.g., seminars, workshops, pre-seminars, personal discussions of research ideas with faculty and visitors).
2. Preparation and potential on the basis of performance in courses and feedback from instructors (**with greater emphasis on the candidate's area of concentration**).
3. Area faculty (Accounting, Finance or Marketing) will send their assessment to the Director of the Program who will share them with the SOM faculty before they vote on inviting the candidate to continue the program for another year.

B. For students with two or more grades of P or below:

1. To continue in the program the student must receive a majority vote in favor. If one or more of the grades is from a course in the student's area of concentration, the majority must include all faculty members that gave out said grades. Absent some other evidence of adequate performance (for example, above average participation in the student pre-seminars), it is expected that dismissal will occur.
2. Area faculty (Accounting, Finance or Marketing) will send their assessment to the Director of the Program. The Director will then notify the SOM faculty regarding the outcome, and implement the decision.

Submitted by:

Ravi Dhar
Matthew Spiegel
Shyam Sunder