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Thomas M. Mierswa, Jr. is an Executive Director in the Branch Advisory Group of the Legal and Compliance Division of Morgan Stanley. He started his legal career as a law clerk on the District of Columbia Court of Appeals, later serving as an Assistant District Attorney and Senior Investigative Counsel in the New York County District Attorney's Office under Robert M. Morgenthau. He joined Wall Street initially as a senior litigation counsel and subsequently turned to retail advisory law, which is his practice today. He has been an adjunct professor of law at Fordham University Law School and is qualified to sit as a FINRA arbitrator.